

# Guide to the Jon P. Gunnemann Files on Ethical Investments at Yale

RU 1099



compiled by William Landis

March 2009

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P.O. Box 208240  
New Haven, CT 06520-8240  
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## Collection Overview

**REPOSITORY:** Manuscripts and Archives  
Yale University Library  
P.O. Box 208240  
New Haven, CT 06520-8240  
mssa.assist@yale.edu  
<http://www.library.yale.edu/mssa/>

**CALL NUMBER:** RU 1099

**CREATOR:** Gunnemann, Jon P.

**TITLE:** Jon P. Gunnemann files on ethical investments at Yale

**DATES:** 1952-1974

**BULK DATES:** 1969-1971

**PHYSICAL DESCRIPTION:** 4.75 linear feet (6 boxes)

**LANGUAGE:** English

**SUMMARY:** The files of ethicist Jon P. Gunnemann document a variety of activities on the topic of ethical investing in which he was involved while a graduate student at Yale University. The materials include correspondence, notes, background research files, and reports relating to the work of the Yale Student-Faculty Committee on Responsible Institutional Investment and the seminar on Yale's investments that grew out of the committee's work. The remainder of the collection consists of drafts and notes relating to the book *The Ethical Investor: Universities and Corporate Responsibility*, written by John G. Simon, Charles W. Powers, and Jon P. Gunnemann as a result of their work in the seminar.

**ONLINE FINDING AID:** To cite or bookmark this finding aid, please use the following link: <https://hdl.handle.net/10079/fa/mssa.ru.1099>

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## Requesting Instructions

To request items from this collection for use in the Manuscripts and Archives reading room, please use the request links in the HTML version of this finding aid, available at <https://hdl.handle.net/10079/fa/mssa.ru.1099>.

To order reproductions from this collection, please go to [http://www.library.yale.edu/mssa/ifr\\_copy\\_order.html](http://www.library.yale.edu/mssa/ifr_copy_order.html). The information you will need to submit an order includes: the collection call number, collection title, series or accession number, box number, and folder number or name.

Key to the container abbreviations used in the PDF finding aid:

b.      box  
f.      folder

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## Administrative Information

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### Immediate Source of Acquisition

Gift of Jon P. Gunnemann, 1999.

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### Conditions Governing Access

The materials are open for research.

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### Conditions Governing Use

Copyright for materials authored or otherwise produced as official business of Yale University is retained by Yale University. Copyright status for other collection materials is unknown. Transmission or reproduction of materials protected by U.S. Copyright Law (Title 17, U.S.C.) beyond that allowed by fair use requires the written permission of the copyright owners. Works not in the public domain cannot be commercially exploited without permission of the copyright owners. Responsibility for any use rests exclusively with the user.

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### Preferred Citation

Jon P. Gunnemann Files on Ethical Investments at Yale (RU 1099). Manuscripts and Archives, Yale University Library.

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## Biographical / Historical

Jon P. Gunnemann, a distinguished ethicist, retired in 2008 from Emory University, where he was a faculty member since 1981. He earned an A.B. from Harvard University in 1962, and an M.A. (1969) and Ph.D. (1975) from Yale University. In addition to his position at Emory, he also taught at the Pennsylvania State University from 1970-1976 and at Yale Divinity School from 1976-1981. Dr. Gunnemann's teaching and research have focused on ethics, economics, and politics, and he authored *The Moral Meaning of Revolution*, co-authored *The Ethical Investor*, and edited *The Nation-State and Transnational Corporations in Conflict*, along with many other articles and reviews.

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## Scope and Contents

The files of ethicist Jon P. Gunnemann document a variety of activities on the topic of ethical investing in which he was involved while a graduate student at Yale University. The materials include correspondence, notes, background research files, and reports relating to the work of the Yale Student-Faculty Committee on Responsible Institutional Investment and the seminar on Yale's investments that grew out of the committee's work. The remainder of the collection consists of drafts and notes relating to the book *The Ethical Investor: Universities and Corporate Responsibility*, written by John G. Simon, Charles W. Powers, and Jon P. Gunnemann as a result of their work in the seminar.

Beginning in 1968, Gunnemann was a key organizer of the Yale Student-Faculty Committee on Responsible Institutional Investment, which comprised undergraduate and graduate students and several members of the faculty interested primarily in the issue of investments in apartheid-era South Africa. The committee had the support of Yale's President, Kingman Brewster, Jr., and developed guidelines for a socially responsible investment program for Yale. In addition, the committee conceptualized a research seminar on Yale's investments, and sought and received grant funding from the Ford Foundation to offer this course during the 1969-1970 academic year. The seminar was an interdepartmental investigation into the

economic, legal, and ethical challenges presented by universities accounting for moral and social issues in the management of their investment portfolios. Gunnemann served as the coordinator of the seminar, which was led by Professors John Simon, Yale Law School, and Charles Powers, Yale Divinity School.

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## **General note**

Forms part of Yale Record Group 49 (YRG 49), Papers and projects on Yale.

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## **Arrangement**

The records are arranged by activity, there under alphabetically by topic.

## Collection Contents

### Accession 2009-A-177: Jon P. Gunnemann Files on Ethical Investments at Yale, 1952-1974

*The Ethical Investor: Universities and Corporate Responsibility* / by John G. Simon, Charles W. Powers, and Jon P. Gunnemann

b. 2, f. 1-20	Annotated drafts and notes	circa 1970-1971
b. 5, f. 1	Oversize materials	1970-1971
b. 1, f. 1	Articles by book authors	1969-1972
b. 1, f. 2	Gunnemann bibliographic note cards	Circa 1969-1971
b. 1, f. 3	Simon, John, notes on issues that structured the book	Circa 1969-1971
b. 1, f. 4	<i>Social Responsibility and University Investments: An Essay Growing Out of a Seminar at Yale University</i> / by John G. Simon, Charles W. Powers, and Jon P. Gunnemann, draft	1971
Yale investment seminar and project		
Background files		
Chiefly articles and brochures about the investment policies of academic institutions, corporations, and organizations.		
Files are arranged alphabetically by the name of the organization, or in some cases, the author.		
b. 1, f. 5	<i>American Law Reports, "Duty of Trustees to Diversity Investments"</i>	1969
b. 1, f. 6	Antioch College	1968
b. 1, f. 7-15	Background articles and publications	1952-1974
b. 5, f. 2-3	Oversize materials	1970-1972
b. 1, f. 16	Brandeis, Dartmouth, and Massachusetts Institute of Technology	1971
b. 1, f. 17	Brewster, Kingman, Jr., Carleton College commencement address and statement at Yale College faculty meeting	1970
b. 1, f. 18	Campaign GM (General Motors), Project on Corporate Responsibility	1971
b. 1, f. 19	Carter Berlind Weill	Circa 1970
b. 1, f. 20	Chase Manhattan	1967-1968
b. 1, f. 21	Christman (Jesse), Detroit Episcopal	1970
b. 1, f. 22	Cornell University	1968
b. 1, f. 23	Council on Investment Priorities	1969
b. 1, f. 24	Fleming, Harold C., "Report on a Visit to South Africa"	1969

Yale investment seminar and project > Background files (continued)

b. 1, f. 25	Ford Foundation	1968
b. 1, f. 26	Harvard University	1970-1971
b. 1, f. 27	IRRC (Investment Responsibility Research Center)	1972-1973
b. 1, f. 28	Kalven report, University of Chicago	1967
b. 1, f. 29	NACBS (National Affiliation of Concerned Business Students)	1972
b. 1, f. 30	National Catholic Conference for Interracial Justice, Project Equality	1967
b. 1, f. 31	National Committee on Tithing in Investment	1969
b. 1, f. 32	National Council of Churches, <i>Corporate Responsibility and Religious Institutions</i>	1971
b. 1, f. 33	Newspaper articles (black notebook) Black three-ringed binder removed for preservation purposes.	1968-1969
b. 1, f. 34	Princeton University	1969-1971
b. 1, f. 35	Student Community Housing Corporation (New Haven)	Circa 1969
b. 1, f. 36	Unitarian Universalist Association	1968-1970
b. 1, f. 37	United Church of Christ	1970-1971
b. 1, f. 38	YMCA (Young Men's Christian Association)	1969
b. 3, f. 1	Bibliographic material	Circa 1968-1970
b. 3, f. 2	Course materials, organizational	1969
b. 6, f. 1	<i>The Crow</i> , volume II, numbers 8-13 Newspaper of the Greater New Haven, Connecticut, Black community.	1969 June 7- August 25
b. 3, f. 3	Decision Team	1969-1970
b. 3, f. 4	Economics Team	1968-1970
b. 3, f. 5	Endowment Management and Research Corporation	1968
b. 5, f. 4	Financial ledger	1969-1970
b. 3, f. 6	Ford Foundation grant materials	1969-1971
b. 3, f. 7	GM (General Motors) issue	1970
b. 3, f. 8	Institutional problems	1970
b. 5, f. 5	Investment readings notes, Jon Gunnemann	Circa 1968-1970
b. 3, f. 9	Legal Team	1970
b. 3, f. 10	New Haven Team	1969-1970
b. 3, f. 11	Notes, miscellaneous	Circa 1969-1970

Yale investment seminar and project (continued)

b. 3, f. 12	Pollution Team	1969-1970
b. 3, f. 13	Powers (Charles), "Neutrality and Decision Models"	Circa 1969-1960
b. 3, f. 14	Requests for Yale Report	1970
b. 4, f. 20	Simon, John, "An Incomplete Discussion Outline of the 'Neutrality' Question"	1970
b. 3, f. 15	South African Team	1970
b. 4, f. 1	Student research teams	1969-1970
Reports prepared by research team participants		
b. 4, f. 2	Bookwalter, W.D.	1969
b. 4, f. 3	Burt, Jeffrey	1970
b. 4, f. 4	Carens, Joseph	1970
b. 4, f. 5	Cass, Richard	1970
b. 4, f. 6	Collier, David	1970
b. 4, f. 7	Doernberg, Richard	1970
b. 4, f. 8	Ecklund, John	1970
b. 4, f. 9	Egger, Mike	1970
b. 4, f. 10	Giancola, Gerard	1970
b. 4, f. 11	Hellén, Olof	1970
b. 4, f. 12	Kahn, Marc	1970
b. 4, f. 13	Lundgren, Dwight	1970
b. 4, f. 14	Neuwelt, Klari	1970
b. 4, f. 15	Parker, P.	1970
b. 4, f. 16	Potter, Barr	1970
b. 4, f. 17	Spitzer, Hugh	1970
b. 4, f. 18	Stallkamp, DePaul	1970
b. 4, f. 19	Tobin, James	1969
b. 3, f. 16	Syllabus and related materials	1969
b. 3, f. 17	Yale governance study	1969
Yale Student-Faculty Committee on Responsible Institutional Investment		
b. 4, f. 21	Correspondence	1968-1971
b. 4, f. 22	Gunnemann report and related materials	1970



Yale Student-Faculty Committee on Responsible Institutional Inve [...] (continued)

b. 4, f. 23	Meeting materials	1968-1969
b. 4, f. 24	Notes from meetings	1968-1969

## **Selected Search Terms**

The following terms have been used to index the description of this collection in the Library's online catalog. They are grouped by name of person or organization, by subject or location, and by occupation and listed alphabetically therein.

### **Subjects**

Investments  
Investments -- Moral and ethical aspects  
Universities and colleges -- Finance

### **Names**

Gunnemann, Jon P.

### **Corporate Body**

Yale University -- Finance